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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/892,727	06/27/2001	Scott Swix	7780-001040 (60027.0018US)	4789
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PARRA, OMAR S				
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Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Office Action Summary

Application No.

09/892,727

Applicant(s)

SWIX ET AL.

Examiner

OMAR PARRA

Art Unit

2421

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --
Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 28 January 2010.
- 2a) ☒ This action is **FINAL**. 2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 1,2,4-6,8-10,12-22,24-27 and 29-36 is/are pending in the application.
- 4a) Of the above claim(s) _____ is/are withdrawn from consideration.
- 5) ☐ Claim(s) _____ is/are allowed.
- 6) ☒ Claim(s) 1,2,4-6,8-10,12-22,24-27 and 29-36 is/are rejected.
- 7) ☐ Claim(s) _____ is/are objected to.
- 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on _____ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some * c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
 2. ☐ Certified copies of the priority documents have been received in Application No. _____.
 3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

* See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- 1) ☒ Notice of References Cited (PTO-892)
- 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- 3) ☐ Information Disclosure Statement(s) (PTO/SF/08)
Paper No(s)/Mail Date _____
- 4) ☐ Interview Summary (PTO-413)
Paper No(s)/Mail Date _____
- 5) ☐ Notice of Informal Patent Application
- 6) ☐ Other: _____

DETAILED ACTION

Response to Arguments

1. Applicant's arguments with respect to claims **1, 2, 4-6, 8-10, 12-22, 24-27 and 29-36** have been considered but are moot in view of the new ground(s) of rejection.

Claim Rejections - 35 USC § 103

2. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

3. Claims **1, 2, 4-6, 8-10, 12-22, 24-27 and 29-36** are rejected under 35 U.S.C. 103(a) as being unpatentable over Middeke et al. (hereinafter 'Middeke', Patent No. 6,445,907) in view of Medvinsky (hereinafter 'Medvinsky', Patent No. 6,754,908) in view of Ly (Pub. No. 2010/0011246) in further view of Humpleman et al. (hereinafter 'Humpleman', Patent 6,546,419).

Regarding claims 1 and 27, Middeke teaches a method for analyzing the operation of a media delivery device (col. 2, lines 1-20), the method comprising the steps of:

determining whether a network connection is functional (by monitoring whether a service request is received from the service center 28; Col. 6, lines 1-15);

determining whether a 1st diagnostic agent is functional, in response to a determination that the network connection is functional (by detecting a service request at step 124, Col. 6, lines 17-18);

causing the 1st diagnostic agent, residing on the media delivery device, to collect diagnostic data associated with the media delivery device (STB), in response to a determination that the 1st diagnostic agent is functional (gathering diagnostic information; Col. 6, lines 19-30);

analyzing the diagnostic data to determine an operational problem associated with the media delivery device (STB) (service center analyses the received diagnostic information; Col. 10, lines 60-63 and service technician remotely trouble-shoot and reconfigured the receiver; Col. 10, lines 35-55) and with a second device not physically connected to the media delivery device (Middeke refers to a satellite television system that serves and diagnoses not only one, but many other household terminals that are not physically connected to the first media distribution device, col. . Therefore, the analysis of the diagnosis data is performed for more than one device found with problems, at least: col. 1 lines 8-10 and lines 37-60); and

receiving a command in the first diagnostic agent to perform at least one of rebooting the media delivery device, upgrading an operating system in the media delivery device, and performing a remedial action related to the network connection, in response to a determination that the network connection is not functional (col. 10, lines 35-62—commands are sent to the receiver to mitigate reported problems, the

commands including resetting the receiver and resetting customer preferences to factory defaults);

Middeke further discloses upon the diagnostic information has been transferred to the center, the service center can send commands to the receiver to reset the receiver to factory default (Col. 10, lines 35-41).

Middeke does not clearly disclose "removing the 1st diagnostic agent", "uploading a second diagnostic agent to the media delivery device in response to a determination that the first diagnostic agent is not functional" and "removing the 2nd diagnostic agent."

However, in an analogous art, Medvinsky teaches a system that installs periodically a program to diagnose if a media delivery device (settop box) is malfunctioning (col. 9 lines 21-37; col. 11 lines 54-60). The software is removed from the memory after the diagnosis is finished (Abstract; col. 9 lines 21-37). Knowing that the software or message has an error, a new software/message is sent to the settop box.

Therefore, it would have been obvious to one of ordinary skill in the art at the time the invention was made to modify Middeke to remove the diagnostic application after each process, as taught by Medvinsky for the benefit of not affecting or taking the risk of getting the settop boxes working properly to malfunction or for detecting intrusions from illegal users.

On the other hand, Middeke and Medvinsky do not explicitly teach examining a memory of the media delivery device for a first diagnostic agent and that also diagnostic

data associated with a second device not physically connected to the media delivery is gathered.

However, in an analogous art, Ly teaches a system that monitors and reports, to a remote troubleshooting center (22, Fig. 1), data about a found problem on a user device (Abstract; [0024]-[0025]). Ly teaches that the user device uses embedded programs that monitor the memory, all the software of the device and hardware devices as well ([0022]; [0031]; [0032]; [0036] as it is well known in the art that embedded programs are stored in a memory of a computing device, and in order to find problems with a program, the memory has to be accessed) . The Remote Diagnostic subsystem gathers all the monitored information and sends a report to the remote center ([0060]).

Therefore, it would have been obvious to one of ordinary skill in the art at the time of the invention to have modified Middeke and Medvinsky's invention with Ly's feature of checking the health of the programs of the device for the benefit of making sure that the program running the monitoring is working properly and not giving misleading data.

Additionally, although Middeke, Medvinsky and Ly teach that the monitoring and report of diagnostic data can include multiple devices (34, Fig. 2), they do not explicitly teach that the multiple devices are not physically connected to the media delivery device.

However, in an analogous art, Humpleman teaches a device (col. 6 lines 35-60) connected to multiple home devices (fig. 3) and appliances and that allows remote diagnosis(col. 21 line 47-col. 22 line 57).

Therefore, it would be obvious to one of ordinary skill in the art at the time of the invention to have modified Middeke, Medvinsky and Ly's invention with Humpleman's feature of monitoring multiple local devices for the benefit of reducing the number of reports sent to a remote diagnostic server.

Regarding claim 2, Middeke, Medvinsky, Ly and Humpleman teach the step of uploading the first diagnostic agent to the media delivery device (STB) over an alternative network connection, in response to a determination that the network connection is not functional (reads on Middeke in which the remote technician at the remote service, i.e., workstation 30, by analyzing the diagnostic information received from the receiver, Col. 3, lines 40-Col. 15, the remote technician able to determine whether or not the network connection is functional. In view of the result, the remote technician able to reset the receiver to factory default including the first diagnostic agent that was pre-loaded by default based on the network communication status; Col. 10, lines 35-63; for example if the strength of the satellite transponder is weak, the only way to communicate between the receiver 24 and the remote service center 30 is through the communication line 32 of Fig. 1 so the technician able to troubleshoot the receiver 24).

Regarding claim 4, Middeke, Medvinsky, Ly and Humpleman teach the step of remedying the operational problem (Col. 10, lines 35-42).

Regarding claim 5, "the step of uploading a second diagnostic agent to the media distribution device, in response to a determination that the network connection is not functional" is analyzed with respect to claim 1 in which Middeke's remote technician at the remote service, i.e., workstation 30, by analyzing the diagnostic information received from the receiver, Col. 3, lines 40-Col. 15, the remote technician able to determine whether or not the network connection is functional. In view of the result, the Middeke's remote technician in view of Medvinsky able to uploading a second diagnostic agent to the media distribution device through another communication link.

Claim 6 is analyzed with respect to claim 1.

Regarding claim 8, Middeke further discloses the media distribution device is a STB (see Fig. 2; Col. 4, lines 15-40).

Claim 9 is analyzed with respect to claim 1.

Regarding claim 10, Middeke, Medvinsky, Ly and Humpleman teach wherein the intelligent diagnostic agent is executable in the system memory (Col. 6, lines 18-30).

Regarding claim 12, "wherein the diagnostic service center can determine whether the diagnostic agent is functional" is further by Middeke' as analyzed with

respect to claim 1 in which the remote service, i.e., workstation 30, able to receive the diagnostic information from the receiver.

Regarding claim 13 is analyzed with respect to claim 1.

Regarding claim 14, Middeke, Medvinsky, Ly and Humpleman teach wherein the communication link is a broadband communication (see Fig. 1).

Regarding claim 15, Middeke, Medvinsky, Ly and Humpleman do not clearly disclose the use of an ADSL as communication link.

Official Notice is taken that the use of ADSL is notoriously well known in the art for telephone companies to offer "video dial tone" over twisted pair. Therefore, it would have been obvious to one of ordinary skill in the art at the time the invention was made to modify Middeke in view of Medvinsky to use ADSL as communication so to provide to user an alternative way to receive video at high-speed over telephone twisted pair network.

Regarding claim 16, Middeke, Medvinsky, Ly and Humpleman teach wherein the communication link is a satellite connection (see Fig. 1).

Claims 17 and 18 are analyzed with respect to claim 1.

Claim 19 is analyzed with respect to claim 2.

Regarding claim 20, Middeke, Medvinsky, Ly and Humpleman teach a media delivery service provider operative to transmit a media content stream to a media distribution device (see Fig. 1).

Claims 20, 21, 25, 26 are analyzed with respect to claim 1.

Claim 22 is analyzed with respect to claim 2.

Claim 24 is analyzed with respect to claim 4.

Claim 29 is analyzed with respect to claim 2.

Regarding claim 30, Middeke, Medvinsky, Ly and Humpleman teach wherein the at least one 2nd communication path comprises a wireless link (Col. 3, lines 30-32).

Regarding claim 31, Middeke, Medvinsky, Ly and Humpleman teach wherein the wireless link comprises satellite communication (Col. 3, lines 30-32).

Regarding claim 32, Middeke, Medvinsky, Ly and Humpleman teach wherein code related to the 1st diagnostic software agent is stored in the media distribution device at the remote site for diagnostic testing and is later removed to allow more storage during an operational condition of the at least one device (see analysis of claim 1).

Regarding claim 33, Middeke, Medvinsky, Ly and Humpleman teach wherein the first diagnostic software agent is interactive with a customer through a presentation device (Col. 4, lines 60-67+).

Regarding claim 34, Middeke (Col. 3, lines 40-Col. 4, lines 15) in view of Medvinsky further discloses the step of entering identification of a media delivery device in a service log.

Regarding claim 35, Middeke (Col. 4, lines 48-Col. 5, lines 13) in view of Medvinsky (see analysis of claim 1) further discloses wherein entering the identification of the media delivery device is performed autonomously by the diagnostic agent.

Regarding claim 36, Middeke in view of Medvinsky (Col. 3, lines 15-21) further discloses "presenting a user interface over the media presentation device; and receiving input from a user via the user interface."

Conclusion

4. Applicant's amendment necessitated the new ground(s) of rejection presented in this Office action. Accordingly, **THIS ACTION IS MADE FINAL**. See MPEP § 706.07(a). Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire **THREE MONTHS** from the mailing date of this action. In the event a first reply is filed within

TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the date of this final action.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to OMAR PARRA whose telephone number is (571)270-1449. The examiner can normally be reached on 9-6 PM (M-F, every other Friday off).

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, John W. Miller can be reached on 571-272-7353. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/John W. Miller/

Application/Control Number: 09/892,727

Page 12

Art Unit: 2421

Supervisory Patent Examiner, Art Unit 2421

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